



# Design and Analysis of Algorithms

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# Techniques for the design of Algorithms

The classical techniques are as follows:

- 1 Divide and Conquer
- 2 **Dynamic Programming**
- 3 Greedy Algorithms
- 4 Backtracking Algorithms
- 5 Branch and Bound Algorithms

# Optimal Binary Tree Construction

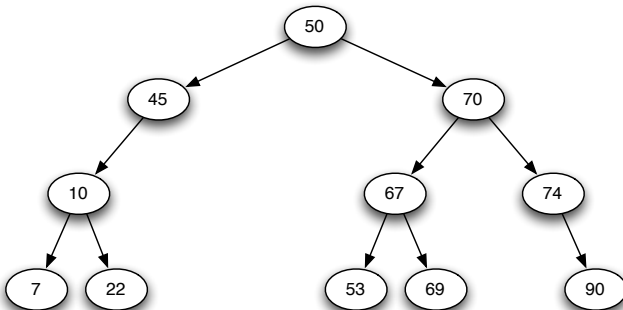
## Properties

- $\forall \text{Node} \in \text{BST.Nodes},$   
 $\text{Key}(\text{Node.Left}) < \text{Key}(\text{Node}) < \text{Key}(\text{Node.Right}).$
- Inorder traversal of any BST is sorted.
- $\log(n) \leq \text{Depth}(\text{BST}) \leq n.$

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Given an array of  $n$  sorted integers and a searching probability for each element, i.e.:

Integers	$x_1$	$x_2$	$\cdots$	$x_n$
Probabilities	$p_1$	$p_2$	$\cdots$	$p_n$

, where  $\sum_{i=1}^n p_i = 1$ . Construct a Binary Search Tree in such a way that minimize

$$Cost = \sum_{i=1}^n p_i \times (Depth(x_i) + 1).$$

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Recall that the number of different binary trees with exactly  $n$  node can be expressed by:

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

## Example

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Consider the following instance:

Integers	1	2	3	4	5	6	7	8
Probabilities	0.2	0.1	0.3	0.07	0.15	0.04	0.08	0.06

Two possible Binary Search Trees are as follows:

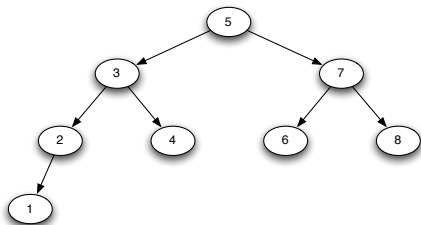
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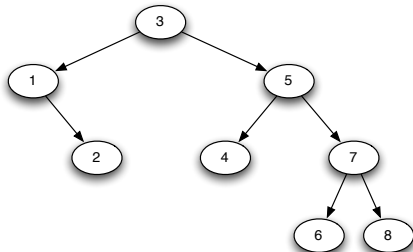
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Two possible Binary Search Trees are as follows:



*Cost = 2.52*



*Cost = 2.15*



# Optimal Binary Tree Construction

## Solution

Let  $C_{i,j}$  be the minimum cost for the elements  $x_i, x_{i+1}, \dots, x_j$  as follows:

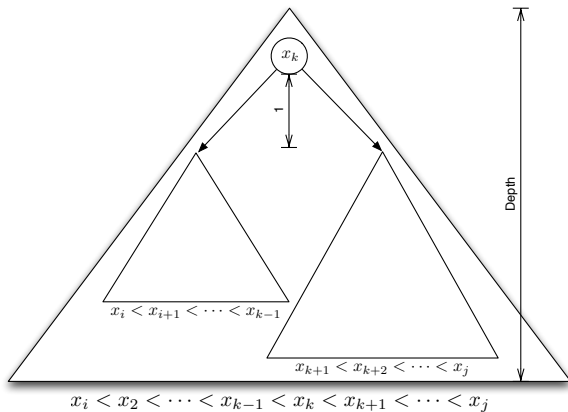
$$C_{i,j} = \sum_{t=i}^j p_t \times (\text{Depth}(x_t) + 1).$$

Also let  $p_{i,j} = \sum_{t=i}^j p_t$ .

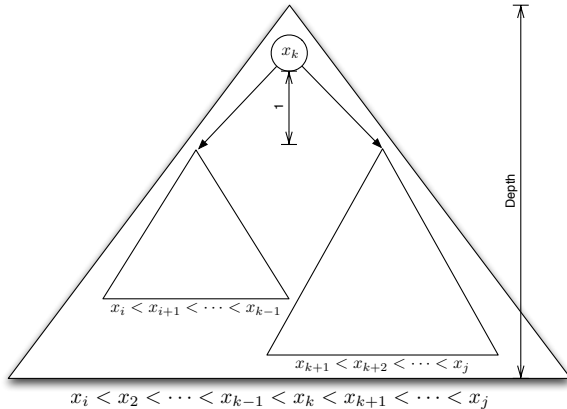
Now,  $C_{i,j}$  can be computed as follows:

$$C_{i,j} = \begin{cases} 0 & \text{if } i > j, \\ p_i & \text{if } i = j, \\ \min_{i \leq k \leq j} \{ C_{i,k-1} + C_{k+1,j} + p_{i,j} \} & \text{if } i < j. \end{cases}$$

# Optimal Binary Tree Construction



# Optimal Binary Tree Construction



$$\{C_{i,k-1} + p_{i,k-1}\} + \{C_{k+1,j} + p_{k+1,j}\} + p_k = C_{i,k-1} + C_{k+1,j} + p_{i,j}$$

## Example

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Consider the following instance:

Integers	1	2	3	4	5
Probabilities	0.3	0.05	0.08	0.45	0.12

	1	2	3	4	5
1	0.3	0.4	0.61	1.49	1.73
2	0	0.05	0.18	0.76	1
3	0	0	0.08	0.6	0.86
4	0	0	0	0.45	0.69
5	0	0	0	0	0.12

$C$

	1	2	3	4	5
1	0	2	1	4	4
2	0	0	3	4	4
3	0	0	0	3	4
4	0	0	0	0	4
5	0	0	0	0	0

$K$

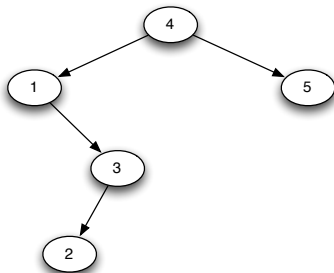
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	1	2	3	4	5
1	0	2	1	4	4
2	0	0	3	4	4
3	0	0	0	3	4
4	0	0	0	0	4
5	0	0	0	0	0

$K$



## Exercises

1. Determine the cost and structure of an optimal binary search tree for a set of  $n = 6$  keys with the following probabilities:

Integers	1	2	3	4	5	6
Probabilities	0.01	0.02	0.04	0.08	0.16	0.69

2. Knuth has shown that there are always roots of optimal subtrees such that  $root[i, j-1] \leq root[i, j] \leq root[i+1, j]$  for all  $1 \leq i < j \leq n$ . Use this fact to modify the OPTIMAL-BST procedure to run in  $\Theta(n^2)$  time.

